The Division of Securities and Retail Franchising of the State Corporation Commission is charged with the administration of the following laws:


UNDER THE VIRGINIA SECURITIES ACT:

10 qualification applications received
1,206 coordination applications received
1 notification applications received
1,402 filings for exemption from registration (Reg. D)
2,500 broker-dealer registrations renewed and granted
281 broker-dealer registrations denied, withdrawn, and terminated
160,883 agent registrations renewed and granted
48,869 agent registrations denied, withdrawn, and terminated
1,743 investment advisor registrations renewed and granted
44 investment advisor registrations denied, withdrawn, and terminated
942 investment advisor representative registrations denied, withdrawn and terminated
0 orders filing and/or canceling surety bonds
21 orders granting exemptions and/or official interpretations
23 orders for subpoena of records by banks, corporations, and individuals
45 orders of show cause
33 judgments of compromise and settlement
16 final order and/or judgment
12 temporary Injunction

UNDER THE VIRGINIA TRADEMARK AND SERVICE MARK ACT:

429 applications for trademarks and/or service marks approved, renewed, or assigned
450 applications for trademarks and/or service marks denied, abandoned, expired, or withdrawn

UNDER THE VIRGINIA RETAIL FRANCHISING ACT:

1,170 franchise registration, renewal, or post-effective amendment applications received
260 franchises denied, withdrawn, non-renewed, or terminated

COMPARISON OF FEES COLLECTED BY THE DIVISION OF SECURITIES AND RETAIL FRANCHISING FOR THE YEARS ENDING DECEMBER 31, 2000 AND DECEMBER 31, 2001

<table>
<thead>
<tr>
<th>Kind</th>
<th>2000</th>
<th>2001</th>
<th>Increase or (Decrease)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Securities Act</td>
<td>$8,833,236</td>
<td>$7,659,768</td>
<td>($1,173,468)</td>
</tr>
<tr>
<td>Retail Franchising Act</td>
<td>322,875</td>
<td>305,700</td>
<td>(17,175)</td>
</tr>
<tr>
<td>Trademarks-Service Marks</td>
<td>18,420</td>
<td>15,065</td>
<td>(3,355)</td>
</tr>
<tr>
<td>Fines</td>
<td>94,365</td>
<td>38,860</td>
<td>(55,505)</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$9,268,896</td>
<td>$8,019,393</td>
<td>($1,249,503)</td>
</tr>
</tbody>
</table>